JC03 Rec'd PCT/PTO\_02 JUN O-1390 (Rev. 02-2005

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TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) **CONCERNING A SUBMISSION UNDER 35 U.S.C. 371**  ATTORNEY'S DOCKET NUMBER 9432-000179/NPB

U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 10/509,962

INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PCT/US03/09877

PRIORITY DATE CLAIMED

31 March 2003 (31.03.2003) 05 April 2002 (5.04.2002) TITLE OF INVENTION AUTHORING SYSTEM AND METHOD FOR SUPPLYING TAGGED MEDIA CONTENT TO PORTABLE DEVICES RECEIVING FROM PLURAL DISPARATE SOURCES APPLICANT(S) FOR DO/EO/US KHANDELWAL, Rajesh Bhagwandas, et al. Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). X An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12 l An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. A preliminary amendment. An Application Data Sheet under 37 CFR 1.76. A substitute specification. 16 A power of attorney and/or change of address letter. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825. A second copy of the published International Application under 35 U.S.C. 154(d)(4). A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). Return Postcard; Check in the amount of \$130.00; Copy of Notification of Missing Requirements under 35 Other items or information: USC 371 in (DO/EO/US); Express Mail No. EV 533143986 US

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mall Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Page 1 of 2

# Rec'd PCT/PTO 02 JUN 2005

PTO-1390 (Rev. 02-2005)
Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE
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	U.S. APPLICAT	J.S. APPLICATION NO. (if known, see 37 CFR 1.5) INTERNATIONAL APPLICATIO		PPLICATION NO.	ATTORNEY'S DOCKET NUMBER				
	10/509,962		PCT/US03/09877		9432-000179/NPB				
	The fol	The following fees have been submitted			CALCULATIONS	PTO USE ONLY			
	21. 🔲 Bas	I. Basic national fee\$300			\$300	\$			
	If International p PCT Article 3	3(1)-(4)	ree y examination report prepared by USPTO and all claims satisfy provisions of			\$			
	Search fee (37 C International Sea	rch fee CFR 1.445(a)(2)) h onal Searching Aut arch Report prepar ns	\$						
		TOTAL OF 21, 22 and 23 =  Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium).  The fee is \$250 for each additional 50 sheets of paper or fraction thereof.				\$ 0.00			
	sequence li				excluding				
	Total Sheets	Extra Sheets		additional 50 or fraction p to a whole number)	RATE				
	- 100 =	/50 =			× \$250	\$ 0.00			
	Surcharge of \$13 claimed priority of	urcharge of \$130.00 for furnishing aimed priority date (37 CFR 1.49)		the oath or declaration later than 30 months from the earliest 2(h)).		\$ 130.00			
	CLAIMS	NUME	BER FILED	NUMBER EXTRA	RATE	\$			
	Total claims		- 20 =		× \$50	\$			
	Independent clai	ms	- 3 =		× \$200	\$			
	MULTIPLE DEP	ENDENT CLAIM(S	\$						
		TOTAL OF ABOVE CALCULATIONS  Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by ½.				\$ 130.00			
	Applicant cla								
	_		\$ 130.00						
		of <b>\$130.00</b> for furni date (37 CFR 1.49	\$ 0.00						
		TOTAL NATIONAL FEE =				\$ 130.00			
	Fee for recording by an appropriate	ee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied an appropriate cover sheet (37 CFR 3.28, 3.31). <b>\$40.00</b> per property					\$ 0.00		
06/07	/2005 MKAYPAGH	00000063 105099	962	TOTAL F	FEES ENCLOSED =	\$ 130.00			
01 FC	:1617	130.00 DP			Amount to be refunded:	\$			
						Amount to be charged:	\$		
	a. X A check in the amount of \$to cover the above fees is enclosed.						<del></del>		
	b. Please charge my Deposit Account No in the amount of \$ to cover the above fees.  A duplicate copy of this sheet is enclosed.								
	c. X  The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No								
	d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.								
	NOTE: Where a	NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the international Application to pending status.							
		NEGATY PORSESPER SINCE TO:							
		Harness, Dickey & Pierce, PLC							
	P.O. Box 82	8			SIGNATURE	0 -			
		Hills, MI 483	03		Gregory A. S	TOBBS			
	USA				28,764				
					REGISTRATION	ON NUMBER			

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Express Mail No.: EV 533143986 US

Date of Deposit: 2 June 2005

Application No.:

10/509,962

Filing Date:

10/04/2004

Applicant:

Rajesh B. KHANDELWAL, et al.

Title:

AUTHORING SYSTEM AND METHOD FOR SUPPLYING TAGGED MEDIA CONTENT TO PORTABLE DEVICES RECEIVING FROM PLURAL DISPARATE SOURCES

Attorney Docket:

9432-000179/NPB

Mail Stop PCT Commissioner for Patents P. O. Box 1450 Alexandria, Virginia 22313-1450

Attn: Authorized Officer Charitta A. Burt

## **EXPRESS MAIL TRANSMITTAL**

The following papers are being deposited with the United States Postal Service Express Mail Post Office To Addressee on 2 June 2005 and addressed for receipt by the United States Patent and Trademark Office:

- Express Mail Transmittal (Label No. EV 533143986 US);
- 2. Check in the amount of \$130.00;
- 3. Transmittal Letter to the US Receiving Office (2 pages, in duplicate);
- 4. Signed Declaration and Power of Attorney (4 pages);
- 5. Copy of Notification of Missing Requirements Under 35 USC 371 in the United States Designated/Elected Office (DO/EO/US) (2 pages); and
- 6. Return Postcard.

HARNESS, DICKEY & PIERCE, P.L.C. P.O. Box 828 Bloomfield Hills, Michigan 48303 (248) 641-1600

Valeri L. Mangindir

PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Dec 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

U.S. APPLICATION NUMBER NO. FIRST NAMED APPLICANT ATTY. DOCKET NO. 10/509,962 Rajesh B Khandelwal 9432-179/NPB INTERNATIONAL APPLICATION NO.

PCT/US03/09877

27572 HARNESS, DICKEY & PIERCE, P.L.C. P.O. BOX 828 **BLOOMFIELD HILLS, MI 48303** 

I.A. FILING DATE PRIORITY DATE 03/31/2003 04/05/2002

**CONFIRMATION NO. 1018 371 FORMALITIES LETTER** \*OC00000015895467\*

Date Mailed: 05/03/2005

## NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 10/04/2004
- Copy of the International Search Report filed on 10/04/2004
- Copy of IPE Report filed on 10/04/2004
- Information Disclosure Statements filed on 10/04/2004
- Oath or Declaration filed on 10/04/2004
- U.S. Basic National Fees filed on 10/04/2004
- Priority Documents filed on 10/04/2004

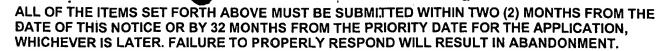
The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
  - does not identify the application to which it is directed.
- \$130 Surcharge for providing the oath or declaration later than 30 months from the priority date (37 CFR) 1.492(e)) is required.

#### SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

\$130 Late oath or declaration Surcharge.



The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice **MUST** be returned with the response.

#### CHARITTA A BURT

Telephone: (703) 308-9140 EXT 207

### PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.	
10/509,962	PCT/US03/09877	9432-179/NPB	

FORM PCT/DO/EO/905 (371 Formalities Notice)